



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9
Compl. Amal C
up Seq. List
PATENT
1810A-045 (81841.0192)
4.11.03

In re application of:

Robert S. Matson

Serial No: 09/872,052

Filed: May 31, 2001

For: IMMOBILIZATION OF UNMODIFIED
BIOPOLYMERS TO ACYL FLUORIDE
ACTIVATED SUBSTRATES

Art Unit: 1641

Examiner: Gabel, Gailene

I hereby certify that this correspondence
is being deposited with the United States
Postal Service with sufficient postage as
first class mail in an envelope addressed
to:

Commissioner for Patents
Washington D.C. 20231, on

March 25, 2003

Date of Deposit

Wei-Ning Yang, Reg. No. 38,690

Name

Signature

03/25/03

**RESPONSE TO NOTICE TO COMPLY WITH
SEQUENCE LISTING REQUIREMENTS**

Box Sequence
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to Comply dated February 26, 2003, Applicant would like to note that the statement CRF does not match application specification (#7) in the Notice to Comply was in error. In our telephone conversation with Anne-Marie Corrigan, of USPTO, she stated that the original CRF was never analyzed for its content, because it was melted and Applicant is just required to submit a CRF substitute for the original CRF. Accordingly, enclosed are the following: a substitute computer-readable disc containing the Sequence Listing for the above-noted case generated by PatentIn v.3.1 software in the text format, a printout of the Sequence Listing and a statement verifying its contents and verifying that the content of the paper and computer-readable copies of the Sequence listing filed herewith are the same. No new matter has been added.

A copy of the Notice to Comply is enclosed herewith, as requested by the Examiner; as well as a copy of the CRF problem report facsimile. The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to our Deposit Account No. 50-1314.

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Seq. List.
placed before
disc.

If for any reason the Examiner finds the application other than in condition for allowance, the Examiner is requested to call the undersigned attorney at the Los Angeles, California telephone number (213) 337-6700 to discuss the steps necessary for placing the application in condition for allowance.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: March 25, 2003

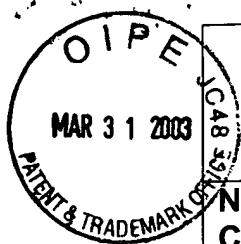
By: 

Wei-Ning Yang

Registration No. 38,690

Attorney for Applicant(s)

500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
Fax: 213-337-6701



Notice to Comply

Applicati n No.

09/872,052

Examiner

Gailene R. Gabel

Applicant(s)

Matson, Robert

Art Unit

1641

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: CRF does not match application specification.

Applicant Must Provide:

- ☒ An initial or **substitute** computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or **substitute** paper copy of the "Sequence Listing", as well as an amendment directing its entry into the **specification**.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216 or (703) 308-2923

For CRF Submission Help, call (703) 308-4212

Patent Software Program Support

Technical Assistance.....703-287-0200

To Purchase Patent Software.....703-306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY



FORM PTO-1083

1810A-045 (81841.0192)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert S. Matson

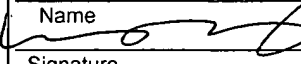
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents Washington D.C. 20231, on March 25, 2003 Date of Deposit Wei-Ning Yang, Reg. No. 38,690 Name  Signature 3/25/03 Date	
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Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an amendment in the above-identified application. Also enclosed are:

- ☒ Response to Notice to Comply With Sequence Listing Requirements
- ☒ Copy of Notice to Comply With Requirements...;
- ☒ Diskette containing sequence listing;
- ☒ Print out of Sequence Listing; and
- ☒ Verified Statement Concerning Sequence Listing.
- ☒ No additional fee is required.
- ☐ A check in the amount of \$___ to cover the additional claims fee is enclosed. **A copy of this sheet is enclosed.**
- ☐ A check in the amount of \$___ to cover the extension fee is enclosed. **A copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
 - ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
 - ☒ Any patent application processing fees under 37 C.F.R. § 1.17

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APR 02 2003

TECH CENTER 1600/2900

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By: 

Wei-Ning Yang

Registration No. 38,690

Attorney for Applicant(s)

Date: March 25, 2003

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